

Ŀ/			PTO/SB/21 (04-04)
TRANSMITTAL	Application Number	10/801,499	
IIIAIIOIIIIIIAE	Filing Date	March 15, 2004	
FORM	First Named Inventor	Calafut, Daniel	
(to be used for all correspondence after initial filing)	Art Unit	2811	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	Attorney Docket Number	018865-015200US	

		Examiner Name	Unassigned				
Total Number of Pages in This Submission		Attorney Docket Number	018865-015200US				
	ENCLOSURES (Check all that apply)						
Amendment/R After F Affidat Extension of T Express Aband Information Dis Certified Copy Document(s) Response to M Incomplete Ap	al Form Itached eply Final //its/declaration(s) ime Request donment Request sclosure Statement of Priority Rissing Parts/	Drawing(s) □ Licensing-related Papers □ Petition □ Petition to Convert to a Provisional Application □ Change of Correspondence Address □ Terminal Disclaimer □ Request for Refund □ CD, Number of CD(s) □ Change of Corresponder is authorized to charge any additional fees to Dep Account 20-1430. □ After Allowance Communication to Technology Center (TC) □ Appeal Communication to Board of Appeals and Interferences □ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) □ Proprietary Information □ Status Letter □ Other Enclosure(s) (please identify below): □ Return Postcard □ PTO/SB/08A & PTO/SB/08B 80 Reference Copies		ogy Center (TC) mmunication to Board and Interferences mmunication to TC clice, Brief, Reply Brief) v Information er osure(s) (please ow): TO/SB/08B pies			
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Firm T		`	T, OR AGENT				
l or l ''	ownsend and Townsend ar armak Sani		No. 45,068				
Signature	X - 2 41	2					
Date A	ugust <u>/2</u> , 2004						
	CERTIF	ICATE OF TRANSMISSION	/MAILING				
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Typed or printed name	Tiffany Wu						
Signature	Sh		Date	August <u>1</u> , 2004			

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TOWNSEND and TOWNSEND and CREW LLP

Attorney Docket No.: 018865-015200US Client Reference No.: 17732-67340.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Daniel Calafut et al.

Application No.: 10/801,499

Filed: March 15, 2004

For: OPTIMIZED TRENCH POWER MOSFET WITH INTEGRATED

SCHOTTKY DIODE

Examiner: Not Yet Assigned

Art Unit: 2811

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and ...

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. With the exception of references from AA through JB, copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Daniel Calafut et al.

Application No.: 10/801,499

Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Barmak Sani

Reg. No. 45,068

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 11

	Complete if Known
Application Number	10/801,499
Filing Date	March 15, 2004
First Named Inventor	Calafut, Daniel
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	018865-015200US

		,	U.S. PATENT DO	CUMENTS+			
	Document Number						
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AA	US-3,404,295	10-01-1968	Warner et al.			
	AB	US-3,412,297	11-19-1968	Amlinger			
	AC	US-3,497,777	02-24-1970	Teszner et al.			
	AD	US-3,564,356	02-16-1971	Wilson			
	AE	US-4,003,072	01-11-1977	Matsushita et al.			
	AF	US-4,300,150	11-10-1981	Colak			
	AG	US-4,326,332	04-27-1982	Kenney et al.			
	AH	US-4,337,474	06-29-1982	Yukimoto			
	Al	US-4,579,621	04-01-1986	Hine .			
	AJ	US-4,636,281	01-13-1987	Buiguez et al.			
	AK	US-4,638,344	01-20-1987	Cardwell, Jr.			
	AL	US-4,639,761	01-27-1987	Singer et al.			
	AM	US-4,698,653	10-06-1987	Cardwell, Jr.			
-	AN	US-4,716,126	12-29-1987	Cogan			
	AO	US-4,746,630	05-24-1988	Hui et al.			
	AP	US-4,754,310	06-28-1988	Coe			
	AQ	US-4,774,556	09-27-1988	Fujii et al.			
	AR	US-4,801,986	01-31-1989	Chang et al.			
	AS	US-4,821,095	04-11-1989	Temple			
	AT	US-4,823,176	04-18-1989	Baliga et al.			
	AU	US-4,853,345	08-01-1989	Himelick			
	AV	US-4,868,624	09-19-1989	Grung et al.			
	AW ·	US-4,893,160	01-09-1990	. Blanchard			
	AX	US-4,914,058	04-03-1990	Blanchard			
	AY	US-4,941,026	07-10-1990	Temple			
_	AZ	US-4,967,245	10-30-1990	Cogan et al.			
	BA	US-4,974,059	11-27-1990	Kinzer			
	BB	US-4,990,463	02-05-1991	Mori			
	BC	US-4,992,390	02-12-1991	Hsueh-Rong Chang			
	BD	US-5,027,180	06-25-1991	Nishizawa et al.			
	BE	US-5,071,782	12-10-1991	Mori			
	BF	US-5,072,266	12-10-1991	Buluccea			
	BG	US-5,079,608	01-07-1992	Wodarczyk et al.			
	ВН	US-5,105,243	04-14-1992	Nakagawa et al.			
·	BI	US 5,111,253	05-05-1992	Korman et al.			
	BJ	US-5,142,640	08-25-1992	Iwanatsu			
	BK	US-5,164,325	11-17-1992	Cogan et al.			
	BL	US-5,164,802	11-17-1992	Jones et al.	-		
	BM	US-5,216,275	06-01-1993	Chen	-		
	BN	US-5,219,777	06-15-1993	Kang			

Examiner	Date
Signature	Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO Complete If Known **Application Number** 10/801,499 INFORMATION DISCLOSURE March 15, 2004 Filing Date STATEMENT BY APPLICANT First Named Inventor Calafut, Daniel Art Unit Not Yet Assigned (use as many sheets as necessary) **Examiner Name** Not Yet Assigned 018865-015200US Sheet of 11 **Attorney Docket Number**

			U.S. PATENT DO	COMEN 19+	<u> </u>
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	ВО	US-5,219,793	06-15-1993	Cooper et al.	
	BP	US-5,233,215	08-03-1993	Baliga	
	BQ	US-5,262,336	11-16-1993	Pike, Jr. et al.	
	BR	US-5,268,311	12-07-1993	Euen et al.	
	BS	US-5,275,965	01-04-1994	Manning	
	BT	US-5,294,824	03-15-1994	Okada	
	BU	US-5,298,761	03-29-1994	Cogan et al.	
	BV	US-5,300,447	04-05-1994	Anderson	
	BW	US-5,326,711	07-05-1994	Malhi	•
	BX	US-5,350,937	09-27-1994	Yamazaki et al.	
	BY	US-5,365,102	11-15-1994	Mehrotra et al.	·
	BZ	US-5,366,914	11-22-1994	Takahashi et al.	
	CA	US-5,389,815	02-14-1995	Takahashi	
	СВ	US-5,405,794	04-11-1995	Kim	
	CC	US-5,418,376	05-23-1995	Muraoka et al.	
	CD	US-5,424,231	06-13-1995	Yang	
	CE	US-5,429,977	07-04-1995	Lu et al.	-
	CF	US-5,430,311	07-04-1995	Murakami et al.	
	CG	US-5,430,324	07-04-1995	Bencuya	
	СН	US-5,436,189	07-25-1995	Beasom	
	CI	US-5,438,215	08-01-1995	Tihanyi	
	CJ	US-5,442,214	08-15-1995	Yang	
	СК	US-5,454,435	07-18-1995	Baliga	
	CL	US-5,473,176	12-05-1995	Kakumoto ·	·
	СМ	US-5,473,180	12-05-1995	Ludikhuize	
	CN	US-5,474,943	12-12-1995	Hshieh et al.	
	CO	US-5,519,245	05-21-1996	Tokura et al.	
	СР	US-5,541,425	07-30-1996	Nishihara	
	ca	US-5,554,862	09-10-1996	Omura et al.	
	CR	US-5,567,634	10-22-1996	Hebert et al.	
	CS	US-5,567,635	10-22-1996	Acovic et al.	
	СТ	US-5,572,048	11-05-1996	Sugawara	
	CU	US-5,576,245	11-19-1996	Cogan et al.	
	CV	US-5,578,851	11-26-1996	Hshieh et al.	
	CW	US-5,581,100	12-03-1996	Ajit	
	СХ	US-5,583,065	12-10-1996	Miwa	
	CY	US-5,592,005	01-07-1997	Floyd et al.	
	CZ	US-5,595,927	01-21-1997	Chen et al.	
	DA	US-5,597,765	01-28-1997	Yilmaz et al.	
	DB	US-5,605,852	· 02-25-1997	Bencuya	

Examiner Date Signature Consi	e esidered
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018865-015200US

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Attorney Docket Number

	U.S. PATENT DOCUMENTS+					
		Document Number				
Examiner Initials*	Cite No.	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	C	US-5,623,152	04-22-1997	Majumdar et al.		
	DD	US-5,629,543	05-13-1997	Hshieh et al.		
	DE	US-5,637,898	06-10-1997	Baliga		
	DF	US-5,639,676	06-17-1997	Hshieh et al.	_	
	DG	US-5,640,034	07-17-1997	Satwinder Malhi		
	DH	US-5,648,670	07-15-1997	Blanchard		
	DI	US-5,656,843	08-12-1997	Goodyear et al.	•	
	DJ	US-5,665,619	09-09-1997	Kwan et al.		
	DK	US-5,670,803	09-23-1997	Beilstein, Jr. et al.		
	DL	US-5,689,128	11-18-1997	Hshieh et al.		
	DM	US-5,693,569	12-02-1997	Ueno	·	
	DN	US-5,705,409	01-06-1998	Witek		
	DO	US-5,710,072	01-20-1998	Krautschneider et al.		
	DP	US-5,714,781	02-03-1998	Yamamoto et al.		
	DQ	US-5,719,409	02-17-1998	Singh et al.		
	DR	US-5,770,878	06-23-1998	Beasom		
	DS	US-5,776,813	07-07-1998	Huang et al.		
	DT	US-5,780,343	07-14-1998	Bashir		
	DU	US-5,801,417	09-01-1998	Tsang et al.		
	DV	US-5,877,528	03-02-1999	So		
	DW	US-5,879,971	03-09-1999	Witek		
	DX	US-5,879,994	03-09-1999	· Kwan et al.		
	DY	US-5,895,951	04-20-1999	So et al.		
	DZ	US-5,895,952	04-20-1999	Darwish et al.		
	EA	US-5,897,343	04-27-1999	Mathew et al.		
	EB	US-5,897,360	04-27-1999	Kawaguchi		
	EC	US-5,900,663	05-04-1999	Johnson et al.		
	ED	US-5,906,680	05-25-1999	Meyerson		
	EE	US-5,917,216	06-29-1999	Floyd et al.		
	EF	US-5,929,481	07-27-1999	Hsieh et al.		
	EG	US-5,943,581	08-24-1999	Lu et al.		
	EH	US-5,949,104	09-07-1999	D'Anna et al.		
	EI	US-5,949,124	09-07-1999	Hadizad et al.		
	EJ	US-5,959,324	09-28-1999	Kohyama		
	EK	US-5,960,271	09-28-1999	Wollesen et al.		
	EL	US-5,972,741	10-26-1999	Kubo et al.		
	EM	US-5,973,360	10-26-1999	Tihanyi		
	EN	US-5,976,936	11-02-1999	Miyajima et al.		
	EO	US-5,981,344	11-09-1999	Hshieh et al.		
	EP	US-5,981,996	11-09-1999	Fujishima		

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Sheet

of

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Substitute for form 1449A/PTO Complete if Known Application Number 10/801,499 INFORMATION DISCLOSURE Filing Date March 15, 2004 STATEMENT BY APPLICANT First Named Inventor Calafut, Daniel Art Unit Not Yet Assigned (use as many sheets as necessary) **Examiner Name** Not Yet Assigned **Attorney Docket Number** Sheet of 11 018865-015200US

U.S. PATENT DOCUMENTS+					
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	EQ	US-5,998,833	12-07-1999	Baliga	
	ER	US-6,005,271	12-21-1999	Hshieh	
	ES	US-6,008,097	12-28-1999	Yoon et al.	
	ET	US-6,011,298	01-04-2000	Blanchard	
	EU	US-6,015,727	01-18-2000	Wanlass	
	ΕV	US-6,020,250	02-01-2000	Kenny et al.	
	EW	US-6,034,415	05-07-2000	Johnson et al.	
	EX	US-6,037,202	03-14-2000	Witek	
	ĒΥ	US-6,037,628	03-14-2000	Huang	
	EZ	US-6,037,632	03-14-2000	Omura et al.	
	FA	US-6,040,600	03-21-2000	Uenishi et al.	
	FB	US-6,048,772	04-11-2000	D'Anna	
	FC	US-6,049,108	04-11-2000	Williams et al.	
	FD	US-6,057,558	05-02-2000	Yamamoto et al.	
	FE	US-6,063,678	05-16-2000	D'Anna	
	FF	US-6,064,088	05-16-2000	D'Anna	
	FG	US-6,066,878	05-23-2000	Neilson	
	FH	US-6,081,009	06-27-2000	Neilson	
	FI	US-6,084,264	07-04-2000	Darwish	
	FJ	US-6,084,268	07-04-2000	de Frésart et al.	
	FK	US-6,087,232	07-11-2000	Kim et al.	· · · · · · · · · · · · · · · · · · ·
	FL	US-6,096,608	08-01-2000	Williams	
	FM	US-6,097,063	08-01-2000	Fujihira	
	FN	US-6,103,578	08-15-2000	Uenishi et al.	
	FO	US-6,104,054	08-15-2000	Corsi et al.	
-	FP	US-6,110,799	08-29-2000	Huang	
	FQ	US-6,114,727	09-05-2000	Ogura et al.	
	FR	US-6,137,152	10-24-2000	Wu	
	FS	US-6,156,606	12-05-2000	Michaelis	
	FT	US-6,156,611	12-05-2000	Lan et al.	
	FU	US-6,163,052	12-19-2000	Liu et al.	
	FV	US-6,165,870	12-26-2000	Shim et al.	
	FW	US-6,168,983	01-02-2001	Rumennik et al.	
	FX	US-6,168,996	01-02-2001	Numazawa et al.	
	FY	US-6,171,935	01-09-2001	Nance et al.	
	FZ	US-6,174,773	01-16-2001	Fujishima	
	GA	US-6,174,785	01-16-2001	Parekh et al.	
	GB	US-6,184,545	02-06-2001	Werner et al.	
	GC	US-6,184,555	02-06-2001	Tihanyi et al.	
	GD	US-6,188,104	02-13-2001	Choi et al.	·

Examiner	Date
Signature	Considered

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STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Calafut, Daniel
				Art Unit	Not Yet Assigned
(use as many sheets as necessary)			s necessary)	Examiner Name	Not Yet Assigned
Sheet	5	of	11	Attorney Docket Number	018865-015200US

			U.S. PATENT DO	OCUMENTS+	
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	GE	US-6,188,105	02-13-2001	Kocon et al.	
	GF	US-6,190,978	02-20-2001	D'Anna .	
	GG	US-6,191,447	02-20-2001	Baliga	
	GH	US-6,198,127	03-06-2001	· Kocon	
	GI	US-6,201,279	03-13-2001	Pfirsch	
	GJ	US-6,204,097	03-20-2001	Shen et al.	
	GK	US-6,207,994	03-27-2001	Rumennik et al.	
	GL	US-6,222,233	04-24-2001	D'Anna	
	GM	US-6,225,649	05-01-2001	Minato	
	GN	US-6,228,727	05-08-2001	Lim et al.	
	GO	US-6,239,464	05-29-2001	Tsuchitani et al.	
	GP	US-6,265,269	07-24-2001	Chen et al.	
	GQ	US-6,271,100	08-07-2001	Ballantine et al.	
	GR	US-6,271,552	08-07-2001	D'Anna	
	GS	US-6,271,562	08-07-2001	Deboy et al.	
	GT	US-6,274,905	08-14-2001	Mo	
	GU	US-6,277,706	08-21-2001	Ishikawa	
	GV	US-6,285,060	09-04-2001	Korec et al.	
	GW	US-6,291,298	09-18-2001	Williams et al.	
	GX	US-6,291,856	09-18-2001	Miyasaka et al.	
	GY	US-6,294,818	09-25-2001	Fujihira	
	GZ	US-6,297,534	10-02-2001	Kawaguchi et al.	
	HA	US-6,303,969	10-16-2001	Tan	
	НВ	US-6,307,246	10-23-2001	Nitta et al	
	HC	US-6,309,920	10-30-2001	Laska et al.	
	HD	US-6,313,482	11-06-2001	Baliga	
	HE	US-6,326,656	12-04-2001	Tihanyi	
	HF	US-6,337,499	01-08-2002	Werner	
	HG	US-6,346,464	02-12-2002	Takeda et al	
	· HH	US-6,346,469	02-12-2002	Greer	
	Н	US-6,351,018	02-26-2002	Sapp	-
	HJ	US-6,353,252	03-05-2002	Yasuhara et al.	
	НК	US-6,359,308	03-19-2002	Hijzen et al.	
	HL	US-6,362,112	03-26-2002	Hamerski	
	НМ	US-6,362,505	03-26-2002	Tihanyi	
	HN	US-6,365,462	04-02-2002	Baliga	
	НО	US-6,365,930	04-02-2002	Schillaci et al.	
	HP	US-6,368,920	04-09-2002	Beasom	
	HQ	US-6,368,921	04-09-2002	Hijzen et al	
	HR	US-6,376,314	04-23-2002	Jerred	

Examiner	Date	
Signature	Considered	

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Substitut	te for form 1449A/PT	го	·	Complete if Known		
				Application Number	10/801,499	
INFO	DRMATION	I DIS	SCLOSURE	Filing Date	March 15, 2004	
STA	STATEMENT BY APPLICANT			First Named Inventor	Calafut, Daniel	
				Art Unit	Not Yet Assigned	
	(use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	6	of	11	Attorney Docket Number	018865-015200US	

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	HS	US-6,376,878	04-23-2002	Kocon					
	HT	US-6,376,890	04-23-2002	Tihanyi					
	HU	US-6,384,456	05-07-2002	Tihanyi					
	HV	US-6,388,286	05-14-2002	Baliga					
·	HW	US-6,388,287	05-14-2002	Deboy et al.					
	HX	US-6,400,003	06-04-2002	Huang					
	HY	US-6,429,481 B1	08-06-2002	Mo et al.					
	HZ	US-6,433,385	08-13-2002	Kocon et al.					
	IA	US-6,436,779	08-20-2002	Hurkx et al.					
	IB	US-6,437,399	08-20-2002	Huang					
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	ID	US-6,452,230	· 09-17-2002	Boden, Jr.					
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				Application Number	10/801,499	
INFO	RMATIO	N DI	SCLOSURE	Filing Date	March 15, 2004	
STAT	rement i	BY A	PPLICANT	First Named Inventor	Calafut, Daniel	
				Art Unit	Not Yet Assigned	
	(use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	7 .	of	11	Attorney Docket Number	018865-015200US	

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Signature	Considered	

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INFO	ORMATION	l DI	SCLOSURE	Filing Date	March 15, 2004	
STA	STATEMENT BY APPLICANT			First Named Inventor	Calafut, Daniel	
1				Art Unit	Not Yet Assigned	
	(use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	8	of	11	Attorney Docket Number	018865-015200US	

			F	OREIGN	PATENT DOC	JMENTS		
Examiner Initials*		For	eign Patent Documen	t			Pages,	
	Cite No.1	. Country Code 3	Number⁴ ĸ	ind Code ^s (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
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					_			

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Sheet	9	of	11	Attorney Docket Number	018865-015200US	

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Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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ł				Art Unit	Not Yet Assigned	
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